

09/701790
525 Rec'd PCT/PTO 01 DEC 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :
PAUL PERE :
Serial No. :
Filed: :
For: METHOD FOR SECURED ACCESS :
TO DATA IN A NETWORK :

#3

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents
and Trademarks
Washington D.C. 20231

Sir:

In accordance with the duty to disclose information material to the examination of this application as set forth in 37 CFR 1.56, copies of the following documents are submitted herewith:

- (1) International patent publication WO 98/15910 (4/16/98);
- (2) United States patent 5,699,526 (12/16/97);
- (3) Article: S. De Capitani di Vimercati et al., "Access Control in Federated Systems", Association For Computing Machinery ISBN: New Paradigms Workshop (Sept. 17-20, 1996), pgs. 87-99;
- (4) European patent publication 0 398 492 (11/22/90);
- (5) United States patent 5,678.041 (10/14/97);
- (6) International patent publication WO 97/29428 (8/14/97);
- (7) Japanese patent application 10-111897 (4/28/98);
- (8) Article: Telesec Kommunikationssicherheit,

"Telekom, Produkentwicklung Telesec beim Fernmeldeamt Siegen"
(1994), pgs. 7-14;

(9) Abstract of article: D. Caramella et al., "Security Management For Radiological Information Systems" in CAR 97 (June 1997), pgs. 25-28; and

(10) Abstract of article: C. Piret et al., "Development of a Coherent Policy of Security-Confidentiality in a Heterogeneous University Hospital Environment in Belgium" in Proceedings of Medical Informatics, Europe 96 (1996), pgs. 951-956.

Each of References "1" through "4" was cited in the Search Report of November 10, 1999 issued on International patent application PCT/EP99/03839. The present application is made pursuant to the U.S. national stage of such International application. Each of such references was categorized by the European patent examiner as category "A" with regard to the claimed invention and, as such, is considered to represent the state of the art - as opposed to a basis for rejection - of claims of the International application. A copy of the International Search Report, which is attached to International patent publication WO 99/63420, is enclosed for the Examiner's guidance.

Each of References "5" through "10" was cited by the German Patent Office during examination of German patent

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application 198 24 787.7, from which the present application claims Convention priority. Such application has issued as German patent DE 198 24 787 C2, a copy of which accompanies this application.

While these references are submitted in accordance with the Applicant's duty pursuant to 37 CFR 1.56, none of the art cited, either alone or in combination with any other prior art, is believed to render the invention as claimed unpatentable.

Respectfully submitted,


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